



Sumitomo Metal Mining Philippine Holdings Corporation - SEC Form 23-B
Marcella Maria L. Karaan to ictdsubmission@sec.gov.ph 09/06/2023 04:24 pm
Cc "msrd_covid19@sec.gov.ph", "TD.P.BEJOSA@smm.com.ph", "Aaron Roi B. Riturban", "Mark Xavier D. Oyales", "Marcella Maria L. Karaan"

History:

This message has been replied to.

1 Attachment



SEC Form 23B-2023.08-Sumitomo Metal Mining Philippine Holdings.pdf

To: Markets and Securities Regulation Department
Securities and Exchange Commission

Ladies and Gentlemen:

On behalf of Sumitomo Metal Mining Philippine Holdings Corporation (the “**Corporation**”) with SEC Registration No. CS201009478, I respectfully submit the attached SEC Form 23-B of the Corporation in relation to the shares issued by Nickel Asia Corporation and held by the Corporation.

Kindly confirm receipt of this email and the attachment.

Thank you very much.

Kind regards,

Marcella Maria L. Karaan | Associate

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SECURITIES AND EXCHANGE COMMISSION
Metro Manila, Philippines

FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES
Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

1. Name and Address of Reporting Person SUMITOMO METAL MINING PHILIPPINE HOLDINGS CORPORATION		2. Issuer Name and Trading Symbol Nickel Asia Corporation (NIKL)		7. Relationship of Reporting Person to Issuer (Check all applicable)				
(Last) 24th and 25th Floors NAC Tower, 32nd St., Bonifacio Global City, Fort Bonifacio	(First) Taguig City, Metro Manila 1635	(Middle) Taguig City, Metro Manila 1635	3. Tax Identification Number 007-796-535-00000	5. Statement for Month/Year Aug-23	<input type="checkbox"/> Director <input type="checkbox"/> Officer (give title below) <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Other (specify below) 26.04% owner**			
(Street)		4. Citizenship Japanese*		6. If Amendment, Date of Original (Month/Year) N/A				
(City)		(Province)		(Postal Code)				
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (U) or Indirect (I) *	6. Nature of Indirect Beneficial Ownership
		Amount	(A) or (D)	Price	%	Number of Shares		
Common shares					18.732%	2,600,384,267	D	N/A
Common shares					7.304%	1,014,013,620	D	These shares were previously registered under the name of PCD Nominee Corporation and beneficially owned by the reporting person. Upon the latter's request, the shares were uplifted on August 7, 2023. There is no change in the total number of shares held by the reporting person.
Total					26.04%	3,614,397,887		

(Print or Type Responses)

*The reporting person is a domestic corporation that is 100%-owned by Sumitomo Metal Mining Co., Ltd., a Japanese company.

**Computed based on issuer's total outstanding common shares of 13,882,210,614

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
 - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
 - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
 - (A) held by members of a person's immediate family sharing the same household;
 - (B) held by a partnership in which such person is a general partner;
 - (C) held by a corporation of which such person is a controlling shareholder; or
 - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
None												

Explanation of Responses:

SUMITOMO METAL MINING PHILIPPINE HOLDINGS CORPORATION

By:  (Reporting Person)
Shiro Imai
 President

Sept. 1, 2023
 Date

Note: File **three (3)** copies of this form, one of which must be manually signed. Attach additional sheets if space provided is insufficient.

----- Message from ICTD Submission <ictdsubmission+canned.response@sec.gov.ph> on Wed, 6 Sep 2023 08:24:20 +0000 -----

To: "Marcella Maria L. Karaan" <mmlkaraan@syciplaw.com>

Subject: Re: Sumitomo Metal Mining Philippine Holdings Corporation - SEC Form 23-B

Thank you for reaching out to ictdsubmission@sec.gov.ph!

Your submission is subject for Verification and Review of the Quality of the Attached Document only for Secondary Reports. The Official Copy of the submitted document/report with Barcode Page (Confirmation Receipt) will be made available after 7 working days via order through the SEC Express at <https://secexpress.ph/>. For further clarifications, please call (02) 8737-8888.

----- NOTICE TO COMPANIES -----

Please be informed of the reports that shall be filed only through ictdsubmission@sec.gov.ph.

Pursuant to SEC MC Circular No. 3 s 2021, scanned copies of the printed reports with wet signature and proper notarization shall be filed in PORTABLE DOCUMENT FORMAT (pdf) through email at ictdsubmission@sec.gov.ph such as the following SECONDARY REPORTS:

1. 17-A 6. ICA-QR 11. IHAR 16. 39-AR 21. Monthly Reports
2. 17-C 7. 23-A 12. AMLA-CF 17. 36-AR 22. Quarterly Reports
3. 17-L 8. 23-B 13. NPM 18. PNFS 23. Letters
4. 17-Q 9. GIS-G 14. NPAM 19. MCG 24. OPC (Alternate Nominee)
5. ICASR 10. 52-AR 15. BP-FCLC 20. S10/SEC-NTCE-EXEMPT

Further, effective 01 July 2023, the following reports shall be submitted through <https://efast.sec.gov.ph/user/login>.

1. FORM MC 18 7. Completion Report
2. FORM 1 - MC 19 8. Certificate-SEC Form MCG- 2009
3. FORM 2- MC 19 9. Certificate-SEC Form MCG- 2002, 2020 ETC.
4. ACGR 10. Certification of Attendance in Corporate Governance
5. I-ACGR 11. Secretary's Certificate Meeting of Board Directors (Appointment)
6. MRPT

Please be informed that the submission of the abovementioned eleven (11) reports through the ictdsubmission@sec.gov.ph shall no longer be accepted. For further information, please access this link Notice for guidance on the filing of reports:

Likewise, the following reports shall be filed through the Electronic Filing and Submission Tool (eFAST) at

<https://efast.sec.gov.ph/user/login> :

1. AFS 7. IHFS 13. SSF
2. GIS 8. LCFS 14. AFS with Affidavit of No Operation
3. BDFS 9. LCIF 15. AFS with NSPO Form 1,2, and 3
4. FCFS 10. OPC_AO 16. AFS with NSPO Form 1,2,3 and 4,5,6
5. FCIF 11. PHFS 17. FS - Parent
6. GFFS 12. SFFS 18. FS - Consolidated

For the submission and processing of compliance in the filing of Memorandum Circular No. 28 Series of 2020, please visit this link - <https://apps010.sec.gov.ph/>

For your information and guidance.

Thank you.